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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY **PATENT APPLICATION TRANSMITTAL**

01AB082 Attorney Docket No. Joseph Lenner First Inventor **ELECTRONIC LOCKOUT/TAGOUT SYSTEM**

| (Unity for new nonprovisi | onal applications under 37 CFR 1.53(b)) | Exp | ress Mail I | Label No. | E120032 | 20307 | 03 |
|---|--|-------------|---|--|--|--------------------------------------|--|
| APPLIC | ATION ELEMENTS | I | DDRES | | Assistant Co Box Patent | | sioner for Patents |
| See MPEP chapter 600 co. | ncerning utility patent application contents | | | _ | Nashington | | |
| Fee Transmittal (Submit an original and 2. Applicant claims See 37 CFR 1.2 Specification (preferred arrangeme - Descriptive titl - Cross Referen - Statement Re - Reference to s or a computer - Background o - Brief Summar - Brief Descriptive | Form (e.g., PTO/SB/17) a duplicate for fee processing) small entity status. 7. [Total Pages 44] int set forth below) e of the invention ice to Related Applications garding Fed sponsored R & D isequence listing, a table, program listing appendix f the Invention by of the Invention on of the Drawings (if filee!) | 7 8 | Com. Nucleotide a (if applicab a. Co b. Specifica i. C c. Sta | ROM or CD- puter Progra and/or Amind le, all necess mputer Read ation Sequer CD-ROM paper atements ver | R in duplica m (Appendo o Acid Sequ sary) dable Form nce Listing o M or CD-R (ifying identi | ate, landix) uence (CRF) on: (2 copi | ge table or Submission ies); or bove copies ON PARTS |
| - Detailed Desc - Claim(s) - Abstract of the | • | ΥI | 37 (| ignment Pap CFR 3.73(b) <i>en there is a</i> | Statement | Г | & document(s)) Power of Attorney |
| 4. Drawing(s) (35) 5. Oath or Declaration | U.S.C. 113) [Total Sheets 17] | | 11. Eng | glish Transla ormation Disc | tion Docum | ent <i>(if</i> | applicable) Copies of IDS |
| a. Newly exec Copy from | cuted (original or copy) a prior application (37 CFR 1.63 (d)) ation/divisional with Box 18 completed) | | 3. Pre | tement (IDS) liminary Amo urn Receipt | endment Postcard (N | MPEP | J Citations 503) |
| Circle Continue | FION OF INVENTOR(S) | | Sn. | <i>ould be spec</i> tified Copy o | • | , | ent(s) |
| Signed st named in | atement attached deleting inventor(s) the prior application, see 37 CFR) and 1.33(b). | | Nor | | Request un | der 35 | 5 U.S.C. 122 I form PTO/SB/35 |
| 6. Application Data | a Sheet. See 37 CFR 1.76 | 1 | or it 7. 🕡 Oth | ts equivalent | Express M | fail Ce | rtificate |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Of prior application No::/ Prior application information: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS | | | | | | | |
| | 13. GOTTREOF ONE | LITOL | ADDICESS | | | | |
| Customer Number or Bar C | ode Label (Insert Customer No. or Altach b | ar code lat | el here) | or V | Correspon | dence a | ddress below |
| Name | William R. Walbrun | | | | | | |
| | Rockwell Automation (Allen-Bradley | Co., Ir | nc.) | | | | |
| Address | 1201 South Second Street | | | | | | |
| City | Milwaukee | State | Wiscor | nsin | Zip C | Code | 53204 |
| Country | Tel | ephone | 414.38 | 32.1314 | Fé | 3X | 414.382.3900 |
| Name (Print/Type) | Himanshu S. Amin | R | egistration | No. (Attorn | ey/Agent) | 40, | 894 |
| Signature | M/11 | - | | | Date | Augı | ust 23, 2001 |

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Signature

August 23, 2001

PTO/SB/17 (09-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) 1242.00 |
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|----------------------|---------------|-------------|--|--|--|
| Complete if Known | | | | | |
| Application Number | | | | | |
| Filing Date | Herewith | | | | |
| First Named Inventor | Joseph Lenner | | | | |
| Examiner Name | | | | | |
| Group Art Unit | | | | | |
| Attorney Docket No. | 01AB082 | | | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | |
|--|--|--|--|--|--|--|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any everywhere to | 3. ADDITIONAL FEES | | | | | |
| indicated fees and credit any overpayments to: Deposit | Large Entity Small Entity Fee Fee Fee Fee Foo Decoription | | | | | |
| Account Number 50-1063 | Code (\$) Code (\$) Fee Description Fee Paid | | | | | |
| Deposit | 105 130 205 65 Surcharge - late filing fee or oath | | | | | |
| Account Name A.min & Turocy, LLP | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 139 130 139 130 Non-English specification | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | | | |
| 2. Payment Enclosed: | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | | | |
| Check Credit card Money Order Other | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | | | |
| FEE CALCULATION | 115 110 215 55 Extension for reply within first month | | | | | |
| 1. BASIC FILING FEE | 116 390 216 195 Extension for reply within second month | | | | | |
| Large Entity Small Entity | 117 890 217 445 Extension for reply within third month | | | | | |
| Fee Fee Fee Fee Description | 118 1,390 218 695 Extension for reply within fourth month | | | | | |
| 101 710 201 255 Helley Glan for | 128 1,890 228 945 Extension for reply within fifth month | | | | | |
| 106 320 206 160 Design filing fee | 119 310 219 155 Notice of Appeal | | | | | |
| 107 490 207 245 Plant filing fee | 120 310 220 155 Filing a brief in support of an appeal | | | | | |
| 108 710 208 355 Reissue filing fee | 121 270 221 135 Request for oral hearing | | | | | |
| 114 150 214 75 Provisional filing fee | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | | |
| | 140 110 240 55 Petition to revive - unavoidable | | | | | |
| SUBTOTAL (1) (\$) 710.00 | 141 1,240 241 620 Petition to revive - unintentional | | | | | |
| 2. EXTRA CLAIM FEES | 142 1,240 242 620 Utility issue fee (or reissue) | | | | | |
| Fee from Ext <u>ra Clai</u> ms <u>below</u> Fee Paid | 143 440 243 220 Design issue fee | | | | | |
| Total Claims 34 -20** = 14 x 18 = 252 | 144 600 244 300 Plant issue fee | | | | | |
| Independent Claims 80 = 240 | 122 130 122 130 Petitions to the Commissioner | | | | | |
| Multiple Dependent 0 = 0 | 123 50 123 50 Petitions related to provisional applications | | | | | |
| Larra Patte, a line in | 126 240 126 240 Submission of Information Disclosure Stmt | | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | | | | |
| 103 18 203 9 Claims in excess of 20 | 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) | | | | | |
| 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid | 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) | | | | | |
| 109 80 209 40 ** Reissue independent claims over original patent | 179 710 279 355 Request for Continued Examination (RCE) | | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | | | |
| SUBTOTAL (2) (\$) 492.00 | Other fee (specify) | | | | | |
| **or number previously paid, if greater; For Reissues, see above | Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00 | | | | | |
| SUDMITTED BY | | | | | | |
| Name (Print/Type) Himanshu S. Amin | Registration No. 10 204 Telephone | | | | | |
| minanshu S. Amin | (Attorney/Agent) 40,894 Telephone 216.696.8730 | | | | | |

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor | | Joseph Lenner | • |
|----------------------|------------|------------------------|---|
| Title | ELECTRONIC | LOCKOUT/TAGOUT SYSTEMS | |
| Atty Docket Number | | 01AB082 | _ |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 23, 2001

Date

11/1/

Signature

Himanshu S. Amin (Reg. No., 40,894)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

1500

Atty. Docket No. 01AB082

ELECTRONIC LOCKOUT/TAGOUT SYSTEMS

by

Joseph Lenner

Certificate of Mailing

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date <u>August 23, 2001</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>ET268326567US</u> addressed to the: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Himanshu S. Amin
(Typed or Printed Name of Person Mailing Paper)

(Signature of Person Mailing Paper)